# Dispensing with the continuum, 25 years later

Sam Sanders

#### Remembering Patrick Suppes, LMU, Sept. 9, 2015







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#### Introduction: Dispensing with the continuum

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#### Introduction: Dispensing with the continuum

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constructive  $\approx$  effective  $\approx$  computable  $\approx$  implemented on a PC.

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## First steps: The Chuaqui-Suppes system

Rolando Chuaqui and Patrick Suppes introduced the logical system  $\mathfrak{CS}$  in the late eighties, as part of the *Dispensing* program.



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The Chuaqui-Suppes system CS

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## The Chuaqui-Suppes system $\mathfrak{CS}$

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The system  $\mathfrak{CS}$  is meant to reflect the practice of physics:

Elementary use of infinitesimals which replace real numbers

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- **3** NO exact solutions, but solutions up to infinitesimals: ' $=_{\mathbb{R}}$ ' is replaced by ' $\approx$ '.

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- O existential quantifiers: All objects claimed to exist are explicitly constructed (in terms of infinitesimals).
- Solutions is not solutions up to infinitesimals: '=<sub>ℝ</sub>' is replaced by '≈'. E.g. y' = f(x, y) becomes y' ≈ f(x, y).

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- NO functions growing faster than iterations of the exponential function;

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#### Basic calculus in CS

Basic calculus is developed inside  $\mathfrak{CS}$  by Suppes and Chuaqui, in the style of physics.

INFINITESIMAL ANALYSIS

We also have, from (5), if dt > 0

$$P_n(t) = P_n(t - dt) + \lambda dt (P_{n-1}(t - dt) - P_n(t - dt)) + \sum_{i=0}^{n-2} \lambda_i dt (P_i(t - dt) - P_n(t - dt)),$$

that is

$$P_n(t - dt) = P_n(t) - (\lambda(P_{n-1}(t - dt) - P_n(t - dt)) dt + \sum_{i=0}^{n-2} \lambda_i (P_i(t - dt) - P_n(t - dt) dt).$$

As above, by (7) and (8)

$$\left|\sum_{\iota=0}^{n-2}\lambda_i(P_\iota(t-dt)-P_n(t-dt))\right| \le (n-2)\gamma\,dt,$$

where the right side is an infinitesimal. So

$$P_n(t-dt) \approx P_n(t) - \lambda (P_{n-1}(t-dt) - P_n(t-dt)) dt \quad (dt).$$

Let dt < 0. Changing dt by -dt in the formula above, we get

(10). 
$$P_n(t+dt) \approx P_n(t) + \lambda (P_{n-1}(t+dt) - P_n(t+dt)) dt \quad (dt)$$

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• Equation of the catenary (solution  $y \approx \cosh x$ ).

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- Integrals for diffraction phenomena.

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In general, large classes of differential equations have solutions (involving infinitesimals) inside  $\mathfrak{CS}$ .

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A major component of physics is that predictions made by theories are compared to experimental data (using computers nowadays).

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## ERNA, the Sommer-Suppes system
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# ERNA, the Sommer-Suppes system

Elementary Recursive Nonstandard Analysis (ERNA) is a streamlined version of  $\mathfrak{CG}$  introduced by Rick Sommer and Suppes.



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# ERNA, the Sommer-Suppes system

Elementary Recursive Nonstandard Analysis (ERNA) is a streamlined version of  $\mathfrak{CS}$  introduced by Rick Sommer and Suppes.



An important aspect of ERNA is the isomorphism theorem, which uniformly replaces infinitesimals with very small rational numbers.

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An important aspect of ERNA is the isomorphism theorem, which uniformly replaces infinitesimals with very small rational numbers.

In other words, ERNA's isomorphism theorem is an attempt to solve the 'computability' problem of  $\mathfrak{CG}$ .

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### Mathematics in ERNA

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Corollary (First fundamental theorem of Calculus)

Let f be continuous on [a, b] and  $F(x) = \int_a^x f(t)dt$ . Then F is S-differentiable on [a, b] and  $\frac{d}{dx}F(y) \approx f(y)$  holds for all  $a \ll y \ll b$ .

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S-differentiable means that the infinitesimal used in  $\frac{d}{dx}$  must be 'large' compared to the infinitesimal used in  $\int_{a}^{x} f(t) dt$ .

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These kind of conditions are the price to pay for working over  $*\mathbb{Q}$  the hyperrational numbers, rather than the reals  $\mathbb{R}$ .

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## Mathematics in ERNA: The Dirac delta

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## Mathematics in ERNA: The Dirac delta

### Definition (Delta function)

Let f be such that  $\int f(x) dx \approx 1$ . For nonzero  $\varepsilon \approx 0$ ,  $\delta_{\varepsilon}(x) := f(x/\varepsilon)/\varepsilon$  is called a 'delta function'.

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#### Theorem (Dirac delta theorem)

Let g be near-standard and S-continuous on [a, b] and let  $\pi$  be a hyperfine partition of [a, b]. If  $\frac{|\pi|}{\varepsilon} \approx 0$  and  $a \ll 0 \ll b$  and  $\delta_{\varepsilon}(x)$  is a delta function, then

$$\int_a^b g(x)\delta_{\varepsilon}(x)\,d_{\pi}x\approx g(0).$$

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Observation: Mathematics in ERNA involves lots of infinitesimals!

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Observation: Mathematics in ERNA involves lots of infinitesimals! Question: How to remove infinitesimals from ERNA-theorems?

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## ERNA's isomorphism theorem I

Purpose: Replace all infinitesimals in an ERNA-theorem by very small (but in  $\mathbb{Q}$ ) numbers, while keeping the theorem correct.

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### ERNA's isomorphism theorem I

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The isomorphism theorem of Chuaqui-Sommer-Suppes:

**Theorem 6.1. Isomorphism Theorem** Let  $\mathcal{M}$  be a reasonably sound model of nonstandard analysis, and let  $\mathcal{T}$  be a finite set of terms in the language of ERNA, closed under subterms. Then there are arbitrarily large (truly) finite natural numbers b, such that there is an isomorphism f from  $\mathcal{T}^{\mathcal{M}} =_{def} \{\tau^{\mathcal{M}} : \tau \in \mathcal{T}\}$ , the  $\mathcal{M}$  interpretations of the elements of  $\mathcal{T}$ , to a finite subset of the (truly) standard rationals that satisfies the following:

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- 1.  $f(g(\tau_1, \ldots, \tau_l)) = g(f(\tau_1), \ldots, f(\tau_l))$ , where g is any function symbol of the language other than  $\nu_0$  and  $\epsilon_0$ .
- 2.  $f(\nu_0) = n_0$  and  $f(\epsilon_0) = 1/n_0$ , for some  $n_0 \ge b$ .
- 3. Inf( $\tau$ ) holds in  $\mathcal{M}$  if and only if  $|f(\tau)| \leq \frac{1}{b}$ .
- 4.  $\mathcal{N}(\tau)$  holds in  $\mathcal{M}$  if and only if  $f(\tau)$  is a natural number.
- 5.  $\tau \leq \sigma$  holds in  $\mathcal{M}$  if and only if  $f(\tau) \leq f(\sigma)$ .

... is quite technical.

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... is quite technical. The number *b* is not computable from the inputs.

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# ERNA's isomorphism theorem II

### Theorem (Isomorphism theorem II, S.)

Let  $\mathcal{T}$  be a finite set of closed intensional terms in the language of ERNA, not including min and closed under subterms. There is a bijection f from  $\mathcal{T}$  to a finite set of rationals such that

- f(0) = 0, f(1) = 1 and  $f(\omega) = n_0$ , for some  $n_0 \in \mathbb{N}$ ,
- $f(g(\tau_1, \ldots, \tau_k)) = g(f(\tau_1), \ldots, f(\tau_k))$ , for all non-atomic terms in  $\mathcal{T}$ ,
- (i)  $\tau \approx 0$  iff  $|f(\tau)| < \frac{1}{b}$ , for some  $n_0 > b \in \mathbb{N}$ ,
- $\bigcirc \tau$  is infinite iff  $|f(\tau)| > b$ , for some  $n_0 > b \in \mathbb{N}$ ,
- $\tau$  is hypernatural iff  $f(\tau)$  is natural,
- $o \sigma \leq \tau \text{ iff } f(\sigma) \leq f(\tau).$

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- f(0) = 0, f(1) = 1 and  $f(\omega) = n_0$ , for some  $n_0 \in \mathbb{N}$ ,
- $f(g(\tau_1, \ldots, \tau_k)) = g(f(\tau_1), \ldots, f(\tau_k))$ , for all non-atomic terms in  $\mathcal{T}$ ,
- (i)  $\tau \approx 0$  iff  $|f(\tau)| < \frac{1}{b}$ , for some  $n_0 > b \in \mathbb{N}$ ,
- $\bigcirc \tau$  is infinite iff  $|f(\tau)| > b$ , for some  $n_0 > b \in \mathbb{N}$ ,
- $\tau$  is hypernatural iff  $f(\tau)$  is natural,
- $o \sigma \leq \tau \text{ iff } f(\sigma) \leq f(\tau).$

ISO2 is equivalent to the Turing jump due to the absence of reasonable soundness.

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# ERNA's isomorphism theorem II

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ISO2 is equivalent to the Turing jump due to the absence of reasonable soundness. (PS: My PhD was about RM in ERNA)

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# The radical Dispensing program

Patrick Suppes' radical Dispensing program (jww with Alper):

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Patrick Suppes' radical *Dispensing* program (jww with Alper):

Convert theorems of the infinitesimal calculus into (equivalent and constructive) theorems not involving infinitesimals.

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Foundations tend to be far removed from the science they are strutting (set theory, category theory, topos theory, HOTT, etc).

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But how to remove infinitesimals from mathematical results, so that the latter may be compared to experimental data?

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## The unreasonable effectiveness of NSA

To remove infinitesimals from mathematical theorems...

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## The unreasonable effectiveness of NSA

To remove infinitesimals from mathematical theorems...

a) We must focus on theorems of pure NSA, i.e. involving the nonstandard definitions of continuity, differentiation, Riemann integration, compactness, open sets, et cetera.

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The system  $\mathfrak{P}$  is introduced in:

van den Berg, Briseid, Safarik, A functional interpretation of nonstandard arithmetic, APAL2012

The following results are taken from:

Sanders, The unreasonable effectiveness of Nonstandard Analysis, arXiv2015.

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## The unreasonable effectiveness of NSA

Example I: Continuity.

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### The unreasonable effectiveness of NSA

#### Example I: Continuity.

From a proof that f is nonstandard uniformly continuous in  $\mathfrak{P}$ , i.e.

 $(\forall x, y \in [0, 1])(x \approx y \rightarrow f(x) \approx f(y)),$ 

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$$(\forall \varepsilon > 0)(\forall x, y \in [0, 1])(|x - y| < t(\varepsilon) \rightarrow |f(x) - f(y)| < \varepsilon), (1)$$

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But (1) is the notion of continuity (with a modulus t) used in constructive and computable analysis (Bishop, Weihrauch, etc).

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### The unreasonable effectiveness of NSA

Example II: Continuity implies Riemann integration
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 $(\forall f : \mathbb{R} \to \mathbb{R}) [(\forall x, y \in [0, 1]) [x \approx y \to f(x) \approx f(y)]$ 

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# Where do the terms come from?

To remove infinitesimals from mathematical theorems...

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Methodology

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#### Methodology

a) Observation: Every theorem of pure NSA can be brought into the normal form  $(\forall^{st}x)(\exists^{st}y)\varphi(x,y)$  ( $\varphi$  involves no NSA).

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If  $\mathfrak{P}$  proves  $(\forall^{st}x)(\exists^{st}y)\varphi(x,y)$ , then from the latter proof, a term t can be extracted such that E-PA<sup> $\omega$ </sup> proves  $(\forall x)(\exists y \in t(x))\varphi(x,y)$ 

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GRAND CLAIM: Every theorem of constructive/computable mathematics is the result of TERM EXTRACTION applied to a 'precursor' theorem from pure NSA.

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# Towards equivalence: Hebrandisations

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## Towards equivalence: Hebrandisations

From a proof that nonstandard uniformly continuity implies nonstandard Riemann integration in  $\mathfrak{P}$ , i.e.

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$$egin{aligned} (orall f:\mathbb{R} o\mathbb{R})ig[(orall x,y\in[0,1])[xpprox y o f(x)pprox f(y)] & \downarrow & (3) \ (orall \pi,\pi'\in P([0,1]))(\|\pi\|,\|\pi'\|pprox 0 o S_\pi(f)pprox S_{\pi'}(f))ig], \end{aligned}$$

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we can extract terms i, o such that for all  $f, g : \mathbb{R} \to \mathbb{R}$ , and  $\varepsilon' > 0$ :

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is provable in E-PA<sup> $\omega$ </sup>, AND VICE VERSA: if E-PA<sup> $\omega$ </sup>  $\vdash$  (4), then  $\mathfrak{P} \vdash$  (3) (4) is a theorem from numerical analysis, called the HERBRANDISATION of (3).

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(4) is a theorem from numerical analysis, called the HERBRANDISATION of (3). Pure NSA contains LOTS of numerical info!

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# **Final Thoughts**

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# **Final Thoughts**

The two eyes of exact science are mathematics and logic, the mathematical sect puts out the logical eye, the logical sect puts out the mathematical eye; each believing that it sees better with one eye than with two. Augustus De Morgan

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Any questions?